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Report of the Ad Hoc Advisory Group on Haiti

Summary

The present report highlights the main findings of the Ad Hoc Advisory Group on Haiti following its visits to Washington, D.C., and to Haiti in April and May 2015. Five years after the devastating earthquake in Haiti, the Group continues to observe progress in recovery and reconstruction, but remains concerned about the ongoing challenges being faced by Haiti, including the holding of elections and the subsequent formation of a new government and administration; the difficulties in relations with the Dominican Republic, including the deportation of Haitians; the lack of housing for the remaining internally displaced people in camps; the cholera epidemic; the response to the drawdown of the United Nations military presence in Haiti; the weakness of key institutions such as the judiciary and the police; and the decline in donor assistance.

The Group welcomes the commitment of the Government of Haiti to hold elections before the end of 2015 and calls for the support of the international community to help meet the current gap in funding for their organization. Improving the functioning of the judiciary, including the corrections service and other rule of law institutions is also necessary to strengthen political and institutional stability. Reform in that sector would enhance security and social stability and contribute to development by, among other things, enhancing the confidence of local and foreign investors and the donor community. In order to improve economic performance and social development, the Group calls for the improved management of government finances as well as for more focused attention to be paid to areas with the potential to contribute to job creation. Such areas include improvements in the system of property registration that could lead to, inter alia, increased agricultural production; increased investment in tourism; and stronger partnerships with local and foreign private investors.

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The Group also emphasizes the importance of and necessity for a continued donor support and coordinated international engagement with the country. At the same time, the Group calls for more efficient aid coordination structures that are conducive to transparency and mutual accountability. The presence of the United Nations in Haiti must continue to be responsive to Haiti's evolving development needs and transitional requirements, with a view to ensuring that the United Nations is in a position to deliver as one and play a leading role in mobilizing the resources necessary to support the country's long-term development.

I. Introduction

1. The present report is the eleventh submitted by the Ad Hoc Advisory Group on Haiti since its reactivation in 2004 at the request of the Government of Haiti. The Group, which is chaired by Canada, is composed of the permanent representatives of Argentina, the Bahamas, Benin, Brazil, Canada, Chile, Colombia, El Salvador, France, Haiti, Mexico, Peru, Spain, Trinidad and Tobago and Uruguay to the United Nations and the representative of the United States of America to the Economic and Social Council. The President of the Economic and Social Council, currently Austria, also serves as an ex officio member of the Group.

2. By its resolution 2014/37, the Economic and Social Council extended the mandate of the Ad Hoc Advisory Group on Haiti until the conclusion of the 2015 session, with the purpose of following closely and providing advice on the long-term development strategy of Haiti to promote socioeconomic recovery, reconstruction and stability, with particular attention to the need to ensure coherence and sustainability in the international support to Haiti, based on the long-term national development priorities, building upon the Strategic Plan for the Development of Haiti, and stressing the need to avoid overlap and duplication with respect to existing mechanisms. The Council requested the Group to submit a report on its activities to the Council at its 2015 session. The present report highlights the main findings of the Ad Hoc Advisory Group on Haiti following its visits to Washington, D.C., and to Haiti in April and May 2015 (see annexes I and II).

3. The members of the Ad Hoc Advisory Group wish to express their profound gratitude and appreciation for the constructive and collaborative exchange held between its members and the Haitian authorities. The Group is grateful to the Department of Economic and Social Affairs of the Secretariat for the constant support provided to its work; and to the Special Representative of the Secretary-General for Haiti and Head of the United Nations Stabilization Mission in Haiti, the Deputy Special Representative of the Secretary-General and Resident Coordinator/ Humanitarian Coordinator, the other members of the United Nations country team and representatives of the international financial institutions and the Organization of American States (OAS) for their efforts to improve aid coordination through continued interaction and commitment to knowledge- and information-sharing.

II. Continuing challenges in Haiti

4. Further to its previous report in which it called for the holding of long-overdue elections (E/2014/95), the Group welcomes the promulgation of the electoral law by presidential decree in March 2015, followed by the publication of the electoral calendar, paving the way for elections later in 2015. The Group is pleased that the President of Haiti emphatically stated his commitment to ensuring that the elections are held as planned. The first round of legislative elections are scheduled to be held on 9 August 2015, followed by the second round, in addition to local and presidential elections on 25 October 2015. A run-off for the presidential elections will be held on 27 December 2015, if required. The Group believes that the inauguration of a new government and administration in 2016 must be used by all national stakeholders as an opportunity to advance and consolidate political and institutional stability, which is indispensable for sustainable development in Haiti.

5. The Group calls for strong financial support for the electoral process in Haiti. The cost of the elections, which is expected to be borne by the donor community, is estimated at $74.02 million. Considering the total donor contribution of $37.28 million and further commitments of $6.72 million, there remains a funding gap of approximately $30 million, which must be met if the second and third rounds of elections are to be properly organized. If the country is to succeed in holding free, fair and credible elections, the international community must work towards helping Haiti to fill the gap. The elections are a key element in the process of establishing a climate of institutional stability and security for all of Haiti's citizens, strengthening a conducive environment for domestic and foreign investment and contributing to the setting of conditions for sustainable development.

6. The Group is also concerned that the potential for significant numbers of deportations of Haitians, which began in mid-June at the border with the Dominican Republic, could become a possible refugee crisis. The deportations should be managed because they could pose security, health, economic and protection challenges at a time when the country is preparing for elections. Attention should also be paid to the possibility that the "stateless" persons of Haitian descent might be removed from the Dominican Republic and sent to Haiti. There is a clear need to ensure the protection of vulnerable populations, and the Group urges the management of any flow of people across the border in a way that respects international conventions and human rights law. The Group also encourages the Governments of Haiti and the Dominican Republic to speedily resolve the issues between them diplomatically and to consider in the future the revision of the 1999 protocol governing repatriation and conduct of deportation between the two countries.

7. The Group remains concerned about the prevalence of cholera in Haiti. Although the number of cases has declined by 90 per cent to fewer than 30,000 in 2014 from its peak of over 350,000 cases in 2011, it will be difficult to get to zero owing to the continuing lack of access to clean water and sanitation facilities for a large part of the population. The Group took note of the work of the High-Level Committee for the Elimination of Cholera, established by the Secretary-General and the Prime Minister, which coordinates United Nations efforts in support of the Government's National Plan for the Elimination of Cholera. The Plan is designed to attack the spread of cholera at its source through the development of public health, water and sanitation infrastructure. As at December 2014, 50 per cent of the short-term plan and 18 per cent of the 10-year plan was funded. According to the United Nations Senior Coordinator for the Cholera Response in Haiti, the lack of resources has already led to the closure of 91 of the 250 treatment centres, and premature disengagement could compromise gains attained so far and lead to a resurgence of the epidemic.

8. While the Group welcomes and acknowledges that very substantial progress has been made in reducing the number of internally displaced Haitians from 1.5 million to 64,680 as at 31 March 2015, according to the World Bank and the International Organization for Migration, it continues to call for durable solutions by the Government, the United Nations system and the international community for the remaining internally displaced persons in the camps.

9. Environmental protection continues to be a major challenge, with women and poorer households being disproportionately affected, for example, by the impacts of

deforestation and the need for improved management of water systems. Some positive steps have been taken to fight deforestation with tangible results. In the North, Artibonite, Grande Anse and Nippes Departments, the Government of Canada, the Global Environment Facility and the National Directorate for Water Supply and Sanitation provided training and awareness sessions on climate change to over 50,000 people, and 1,000 school children were sensitized to the concept of eco-citizenship. Under the coordination of the National Directorate for Water Supply and Sanitation, a climate change adaptation project also rehabilitates water systems in the southern and south-eastern parts of the country. Recognizing the potential for agricultural production along the Artibonite Delta, the United Nations Development Programme (UNDP) facilitated technical dialogue between the Ministries of Environment on both sides of the Haitian-Dominican border, with a view to identifying joint environmental protection activities. The consultations resulted in the formulation of a strategic action plan for watershed management that defines future agricultural and energy projects for the region in order to prevent conflicts over water use between the two countries. The Group calls for scaling up of similar initiatives to accelerate progress in that area.

10. The challenges come at a time when the military component of MINUSTAH is being drawn down. While the drawdown has proceeded smoothly to date, with no notable increase in the overall level of violence in the country, the Group would like to note that the capacity of the Haitian National Police may be tested during the electoral period as the Haitian authorities take on greater responsibilities for election security and logistics. There is wide recognition that the national police responded well to the challenges of controlling the demonstrations that occurred prior to announcement of the elections, indicating their improved performance and readiness; however, some questions remain regarding its capacity to carry out election security and logistics if there is large-scale election violence. In that context, careful attention should be paid to social and political tensions as the electoral process unfolds, and further measures to prevent electoral violence should be identified.

11. The judicial system remains weak despite important institutional capacity-building programmes. Haiti's prison system remains severely overcrowded, in large part as a result of the high numbers of arbitrary arrests and prolonged pretrial detentions. Although some recent developments in the area of rule of law are encouraging, including a push to reform the country's 179-year-old criminal law framework and an initiative to decrease prolonged pretrial detention, rule of law bodies must improve their performance, transparency, accountability and accessibility. The Government needs to enhance its capacity to promote human rights and prosecute human rights violations. A genuine national dialogue on institutional strengthening conducted in a transparent and consultative manner would contribute to confidence-building among all stakeholders.

12. A major challenge for the country will be to manage the substantial decrease in donor financing that has been notable since the peak of support in the aftermath of the 2010 earthquake. Having declined for the past three years, the trend is expected to continue into the future as stated by the World Bank in its 2015 overview on the Haitian economy. Unless the situation is addressed, the ability of Haiti to make capital investments, which have been increasing over the past three years, will be constrained going forward. With fewer resources, efficient and effective use of domestic and external resources will remain critical. The continued support of the

international community will be indispensable and the Group is hopeful that the successful completion of the upcoming elections will lead to more active engagement by the donor community.

III. Economic and social situation

13. The Group observed that, despite the challenges noted above, the overall economic and social situation in the country has continued to improve, in particular in areas related to infrastructure and access to education, health and other services and through increased economic activity and investments. Nonetheless, a variety of interlocutors pointed to a number of factors that could undermine the fragile progress, including recurrent expenditure shortfalls, weak national institutional capacity, a low level of skilled workers, continuing challenges in the provision of high quality education and health care, a shortage of qualified teachers and doctors, and general insecurity.

14. The Group is also of the view that the country's economy would benefit from an improved management of government finances, notably the need for the creation and implementation of a single account within the Ministry of Finance to provide for greater control, transparency and accountability of all funds received and disbursed by the Government. The continuing heavy reliance on external financing and the impact of the decline in donor support, including through Petro-Caribe, will continue to have an impact on the ability of the Government to sustain economic growth. In that connection, ways and means should also be explored to leverage the inflow of remittances to the country's economy by the diaspora.

15. Given its vulnerability to environmental shocks and without a strong foundation of human capital, a diversified economy and political and institutional stability, Haiti remains subject to economic volatility, as shown by the recent slowing of economic growth from 4.3 per cent in 2013 to 2.8 per cent in 2014, lower than the projected 3.6 per cent. According to the International Monetary Fund (IMF), the substantial slowdown in 2014 was due mainly to delays in budget approval and adverse weather conditions that affected agricultural production.

16. Economic and social progress over the medium term, in the face of a growing demographic challenge, requires a focus on unemployment and underemployment, which remain high. The Group is encouraged by some of the efforts being made by the Government to promote investment and job creation. In 2014, several projects were launched by the Ministry of Trade, in collaboration with UNDP, including the Laboratory for Innovation and Economic Development, which provides training to young entrepreneurs; the publication of the first catalogue of traditional Haitian products, a document that identifies key agricultural products by department and potential investments; the establishment of a "provider database", an interactive electronic library that provides real-time information for investors seeking information on business opportunities in Haiti; the launch of the "Pro-Huerta" project in collaboration with the Ministry of Agriculture, Natural Resources and Rural Development, which aims at improving the diet of urban and rural populations while building capacity and advocating local production.

17. One of the important areas for further institutional development is reform of the system of land registration. The lack of clarity with regard to ownership and land title and land use regulation is hindering the development of agricultural and

tourism potential and has contributed to deforestation. Improvements in the legal framework and procedures for land registration and transfer should be a priority for the new government since it could lead to increased agricultural production, improved food security and employment opportunities for youth and women, as well as promote decentralization and enhance confidence among potential domestic and foreign investors. The international community is encouraged to support that effort by contributing to support projects and programmes, perhaps initially on a pilot basis in designated areas, and by sharing best practices.

18. The Group views the tourism sector as one with substantial potential for the Haitian economy and encourages the Government to develop the country's tourist industry in a sustainable manner. As many as 362,980 tourists visited Haiti from January to September 2014, compared with 299,686 for the same period in 2013, representing an increase of 21.12 per cent and contributing $202,858,800 to the economy during that period. An important constraint to the development in the tourism sector is the lack of tourism infrastructure. Although some hotels were built during the past three years, the sector still needs significant investment, including from the Haitian diaspora. A major development in 2014 was the signing of a memorandum of understanding with the National Development Fund of Mexico to create a development plan for the southern coast. The Group would welcome similar initiatives that could ensure the sustainable development potential of the sector.

19. The Group also emphasizes the need for Haiti to establish partnerships and enhanced relations with the national and foreign private sector as a key strategy in job creation and the promotion of socioeconomic recovery. In order to do so, Haiti will need to do more to catalyse private investment and improve the business environment by improving legislation, access to basic infrastructure, logistics, financial services and skills. The Group urges the Government of Haiti, multilateral banks, donors and the private sector to jointly develop a framework that will address those challenges so that the private sector can be a more active participant in the development of the country and contribute to the opportunities in construction and infrastructure development, agriculture, manufacturing, finance, tourism and energy.

20. The Group also underscores the important role played by civil society organizations in the provision of basic services, which complements the efforts of the Government and has the potential to make civil society a significant partner in the socioeconomic development of the country. Civil society organizations would benefit from improved coordination efforts, led by the Government of Haiti, that would support their activities and enable the organizations to contribute to a more unified approach to the country's development. The Group attaches particular importance to the strengthening of grass-roots and community-based organizations as part of the overall process of democratic and institutional development in Haiti contributing to the development of political, social and economic institutions that are more accessible, transparent and accountable.

IV. International support to Haiti

21. Solid support by the United Nations and the international community continues to be critical in helping the Government to meet its short-term priorities and its longer-term goals of recovery and development. By its resolution 2180 (2014), the Security Council extended the mandate of MINUSTAH until 15 October 2015. The

United Nations integrated structure for Haiti comprises MINUSTAH and a total of 22 development and humanitarian agencies. All of the agencies, funds and programmes, including the World Bank and IMF, work within the United Nations resident coordinator system to support the country in its development. The 2013-2016 United Nations Integrated Strategic Framework for Haiti articulates the joint strategy of MINUSTAH and the United Nations country team in support of the Government's stability and reconstruction efforts. The Framework puts a particular emphasis on the reconstruction of Haiti, including through the implementation of socioeconomic programmes for the eradication of extreme poverty, the reconstruction and/or development of the country's social and economic infrastructure, the creation of employment targeted at youth, institutional capacity-building, rule of law, human rights and strengthening disaster risk management.

22. The Group observed that the United Nations system continues to focus on supporting institutional capacity-building in the interest of creating an enabling environment for domestic and external investment. Particular focus has been placed on the rule of law and support for the development of a truly independent judiciary through strengthening key oversight institutions such as the Superior Council of the Judiciary. With the support of MINUSTAH, UNDP and OAS, the Superior Council of the Judiciary has agreed to develop a road map for strengthening the justice system and determining, for example, the proper distribution of judges throughout the country. In coordination with MINUSTAH, UNDP also continues to train judicial inspectors (8) in the use of legal information management systems, and 200 judges/clerks have received training in combatting gender-based violence and in undertaking investigative and court registry techniques. The Group also welcomes the completion of the draft penal code, the passage of which must be a priority for the new Parliament.

23. An important part of those efforts involves the strengthening of the Haitian national police. In that regard, it is particularly important to note the commitment of the Haitian authorities to further professionalizing the national police, in close collaboration with the United Nations and bilateral donors and to creating a steering committee for providing strategic and operational guidance on the implementation of the national police development plan.

24. The Group recognizes the potential for positive and tangible results for the United Nations system that could be realized by moving towards the "Delivery as one" approach in Haiti and witnessed examples of the practical benefits of inter-agency cooperation during the field visit in Grande Anse Department. Although Haiti is not a "Delivering as one" pilot country, the United Nations country team has incorporated relevant elements from the standard operating procedures of the approach and is moving forward in that spirit. The Political Champions for Disaster Resilience initiative, which is being piloted in the Grande Anse Department by UNDP, the Office for the Coordination of Humanitarian Affairs, World Health Organization/Pan American Health Organization and the Food and Agriculture Organization of the United Nations (FAO) to reduce vulnerabilities and promote sustainable development, is an example of that approach. The approach is also being utilized by FAO, UNDP, the United Nations Environment Programme and the United Nations Office for Project Services in the "Côte Sud" Initiative to reduce the vulnerability of the coastal region and by UNDP, the United Nations Human Settlements Programme, the International Labour Organization and the

MINUSTAH community violence reduction team, to improve community planning and promote job creation in areas such as Bel Air and Cité Soleil.

25. The Group welcomes the revision and extension by one year of the United Nations Integrated Strategic Framework, which was signed in the presence of the Group by the Special Representative of the Secretary-General and the Minister of Planning and External Cooperation on 28 May 2015. The revisions reflect the consolidation process of MINUSTAH, the ongoing transition from a humanitarian to a development context, funding trend requirements and the new poverty alleviation and investment plans of the Government. The review provided an opportunity to revise United Nations objectives and indicators and to propose mechanisms for improved reporting and monitoring. It has allowed MINUSTAH and the United Nations country team to identify specific areas for enhanced collaboration, subject to the availability of additional financial resources.

26. The Group took note of ongoing discussions between MINUSTAH and the United Nations country team on the need to formulate a transition plan in anticipation of the transfer of some of the functions of MINUSTAH to the Government, the United Nations country team and other national and international partners, and the ongoing reconfiguring of the United Nations presence in Haiti. The discussions also included assessing the impact of the transition on the implementing partners of MINUSTAH and service delivery by the Government of Haiti. The Group is encouraged that the United Nations system has been proactive in looking at the potential implications of the gradual reconfiguration of the United Nations presence in the country and welcomes the fact that the continuing drawdown of MINISTAH has proceeded smoothly to date. The Group considers that the concerted effort to foster communication across the United Nations country team and to avoid duplication of efforts in the transition from a humanitarian to development basis is necessary and commendable.

V. Donor assistance and aid effectiveness

27. As in the past, the Group emphasizes the need for continued donor engagement given the vulnerability of the country to natural disasters and economic shocks, and the need to sustain the development progress that has been achieved. In that context, the Group takes note of the transitional appeal, launched in March 2015 by the Government and the United Nations. The appeal, with a budget of $401 million, is intended to address basic social needs and will target the following sectors: (a) durable solutions, $67.8 million; (b) cholera prevention and response, $80.3 million; (c) food security and nutrition, $91.6 million; (d) disaster risk reduction, emergency preparedness and response, $51.4 million; (e) protection from violence, abuse and exploitation, $21.3 million; (f) education, social protection and employment, $88.7 million.

28. The Group welcomes the ongoing negotiations between IMF and the Government of Haiti for a follow-up programme to the 2010 Extended Credit Facility. The Facility, which aims to ensure macroeconomic stability and good fiscal governance by containing inflation and mitigating the volatility of the exchange rate, could also be helpful in increasing the mobilization of revenue, thereby addressing the challenges of the fiscal situation in the country.

29. The Group notes that the Haiti Reconstruction Fund for earthquake recovery and reconstruction has not yet been fully disbursed and that a number of government projects supported by the United Nations and the Brazilian Cooperation Agency are ongoing. The remaining $40 million available in the Fund is being used to support such areas such as health, agriculture, professional training and elections. The World Bank Group, also a partner entity of the Fund and responsible for its management, is currently assessing the vulnerability of some 400,000 buildings and evaluating with the Government the cost of their reconstruction/rehabilitation. The International Development Association is disbursing the committed $500 million for the period 2012-2014. The World Bank Group has contributed substantially to the reconstruction of the country since the earthquake, launching its largest development programme to date for Haiti, which amounted to over $800 million in grants.

30. The Group also welcomes the ongoing negotiations on the Inter-American Development Bank country strategy for Haiti, 2015-2020. As one of the main multilateral partners of Haiti, the Inter-American Development Bank has disbursed more than $500 million in aid since the earthquake. The five-year education programme, which included the construction of public schools, improved access for school-age children and improved education quality and access to vocational training, is at the core of its development strategy. With respect to private sector development, a key project involves support for the development of the Caracol industrial park in partnership with the United States. With regard to the agricultural sector, a commitment of $200 million over five years is expected to strengthen land tenure rights, boost agricultural production, increase market access for farmers, support the transfer of technology to small farmers and reinforce food security.

31. The recent increase in cholera cases during 2015 has made it imperative to ensure that the National Plan for the Elimination of Cholera is well funded. The Plan requires an estimated $2.2 billion to support large-scale development of public health, water and sanitation infrastructure. The Group welcomes the $20 million emergency programme financed by the World Bank to fight cholera in collaboration with the United States Centre for Disease Control and notes that the total amount required by the United Nations for the period 2014-2015 is $72 million. As indicated earlier in the present report, as at December 2014, 50 per cent of the short-term plan ($486 million for the period from February 2013 to February 2015) was funded; while 18 per cent ($407 million) of the 10-year plan was funded, 12.9 per cent ($285 million) disbursed. Ensuring that cholera operations are adequately funded throughout 2015 will be critical to maintaining and improving the gains made over the past 24 months.

32. The Group has also consistently called for increased aid effectiveness through improved and simplified donor support and accelerated governance reforms by the Haitian authorities, with a view to creating new momentum for effective development support for the country. The instruments for improvement in that regard have been put in place, and institutional structures exist for managing and coordinating aid through the External Aid Coordination Framework for the Development of Haiti. A national aid policy has been presented to the Group of Twelve Plus and a locally driven results monitoring framework developed according to the international standards of the International Aid Transparency Initiative.

33. In that context, the Group welcomes the recent decision by the Ministry of Planning and External Cooperation to simplify the external aid coordination

mechanism for greater efficiency; however, more needs to be done to ensure that it becomes more agile and a better conduit for exchanges between the Government and donors on issues related to project development and implementation. Increasing mutual transparency and accountability with partners and strengthening the planning and study units within line ministries to produce sector strategies would help to improve the effectiveness of the mechanism and to ensure alignment from partners.

34. During the visit of the Group to Haiti, the potential for a stronger partnership between the Government and the international community was evident and, efforts in that direction need to be sustained, in order to keep donors engaged and enhance their confidence in the leadership and capacity of the Government. The Group supports the call by the Government for direct budgetary support, which would help to enhance reporting and auditing systems, increase the allocation of funds and improve capacity to manage the budget and disburse funds on time.

35. The Group recognizes that building strong, transparent and accountable institutions takes time and encourages the Government and the international community to reinforce cooperation, working through local institutions to overcome the existing obstacles and achieve more effective aid delivery. Although no recent evaluation of aid impact on the country's institutions has been done, evidence supported by research on other fragile countries indicates that using country systems has greater impact on local economies.

VI. Conclusions and recommendations

36. **Haiti continues to face serious challenge that should be addressed with vigour by all national stakeholders and the country's development partners if the country is to maintain its momentum in economic recovery and reconstruction. During its visit to the country, the Group was particularly encouraged by the commitment expressed by the Government to ensure that elections will be held on schedule and within the framework of democratic institutions and the Constitution. A stable political and institutional environment remains a priority, for which the upcoming presidential, legislative and local elections are a prerequisite. The Group also strongly encourages the Government of Haiti to strengthen its commitment to full transparency and accountability in public administration and the coordination of development assistance. Support from the international community is indispensable to consolidating recent gains and reactivating the process towards sustainable development.**

37. **The Group notes that Haiti is one of only eight countries on the list of least developed countries that is also a small island developing State. Compared with other countries, therefore, it is particularly vulnerable to economic and environmental shocks, including those induced by climate change, which has impacted the life of millions of Haitians. Solid institutions can help to mediate those vulnerabilities. Increased attention focused on reforms such as improving land registry, stronger partnerships with the local and foreign private sector and improving the management of government finances can help to strengthen economic performance and consolidate recovery, reconstruction and socioeconomic development.**

38. **In the light of the challenges outlined at the beginning of the present report, the Group is of the view that it would be useful to continue to monitor the recovery and reconstruction of the country in the post-election phase and in the context of the consolidation of the United Nations presence on the ground.**

39. **The Group would like to draw the attention of the Economic and Social Council to the recommendations below.**

40. **The Group encourages the Government and its development partners to:**

(a) **Make every effort to ensure the holding of free, fair and inclusive elections and to set the conditions to ensure that the new parliament, government and president are ready to meet the challenges that await them and to take action to address national priorities;**

(b) **Ensure continuity in the development and implementation of priority medium- and long-term institution-building and poverty reduction projects and recognize that a clear and meaningful commitment to accountability is a necessary condition for the maintenance of confidence among all of Haiti's international, partners including the private sector;**

(c) **Take measures to make land tenure more secure through a structured approach and provide alternatives to poor farmers by enhancing investment in rural areas, supporting projects that empower communities and populations through participatory actions in the interest of slowing the rural exodus and reversing the unsustainable growth in peri-urban and urban areas;**

(d) **Improve employment opportunities by targeting initiatives that create linkages with the private sector capable of addressing the needs of youth and women;**

(e) **Ensure adequate resources to continue the fight against cholera;**

(f) **Sustain commitments to strengthening the rule of law, including the reform of the justice system and continued assistance in the development of the Haitian national police.**

40. **In particular, the Group calls on the United Nations to:**

(a) **Continue to provide logistical support and technical expertise to assist the national police and build the capacity of Haitian rule of law institutions, at the national and local levels, as mandated by the Security Council, and strengthen an internal oversight and control structure for the national police, in order to guarantee its efficiency, effectiveness and independence;**

(b) **Continue consultations on transition planning as appropriate with a view, for example, to identifying MINUSTAH activities that could be undertaken by the United Nations country team, the Government of Haiti and other international partners as mission consolidation proceeds;**

(c) **Address the needs for housing the remaining internally displaced people in camps;**

(d) **Continue developing the basis for the application of the "Delivery as one" approach for a better integrated and coordinated delivery in consultation and coordination with the Government of Haiti.**

41. **The Group calls on the Government of Haiti to:**

(a) **Increase its efforts in support of a national voter education campaign and create conditions for peaceful and inclusive elections resulting in a high voting turnout;**

(b) **Continue its regular dialogue with MINUSTAH and the United Nations country team, to prioritize development activities and focus on sustainable initiatives building on the progress made so far;**

(c) **Improve transparency, accountability and accessibility of rule of law bodies and address human rights violations;**

(d) **Improve communication with civil society organizations and grass-roots and community-based organizations to enhance their participation in the country's institutional development.**

42. **The Group calls on the international community to:**

(a) **Maximize the chances for successful 2015 elections through continued support to MINUSTAH and the United Nations country team;**

(b) **Ensure that the Provisional Electoral Council has the support it requires to ensure there are no delays in the electoral calendar;**

(c) **Mobilize additional donor support and address the financial gap in the United Nations election basket fund. The estimated gap is currently $30 million;**

(d) **Ensure greater transparency and improved reporting by the Government regarding the use of donor funds and support improved transparency and accountability with cooperation partners;**

(e) **Support the dialogue between the Dominican Republic and Haiti.**

Annex I

Programme of the visit to Washington, D.C., of the Ad Hoc Advisory Group on Haiti, 27 April 2015

6 a.m.	Departure from New York (Penn Station, 2103 Acela Express)
8.55 a.m.	Arrival in Washington, D.C. (Union Station)
	Transfer to the Embassy of Canada
10 a.m.	Welcome by the Permanent Mission of Canada to the Organization of American States
	Meeting with members of the international financial institutions:
	Mr. Agustin Aguerre, Manager, Haiti Country Department, Inter-American Development Bank
	Mr. Gabriel Di Bella, Deputy Division Chief, Western Hemisphere Department, International Monetary Fund
	Ms. Michelle Keane, Lead Country Officer for Haiti, World Bank
	Mr. Raju Singh, Programme Leader and Lead Economist, Haiti Country Management Unit, World Bank
1 p.m.	Working lunch with Robert Maguire, professor, George Washington University
3 p.m.	Meeting with representatives of the Organization of American States
6 p.m.	Departure from Washington, D.C. (Union Station, 2124 Acela Express)

Annex II

Programme of the visit to Haiti of the Ad Hoc Advisory Group on Haiti, 26-29 May 2015

Tuesday, 26 May

1351	Arrival at Port-au-Prince Airport (American Airlines)
1400	Met at aircraft by the Deputy Special Representative of the Secretary-General and Resident Coordinator/Humanitarian Coordinator, Mr. Peter de Clercq, and a protocol official of the United Nations Stabilization Mission in Haiti (MINUSTAH)
1430	Departure from airport to MINUSTAH log base
1445	Courtesy call with the Special Representative of the Secretary-General for Haiti, Ms. Sandra Honorée, and Deputy Special Representative Peter de Clercq — welcome and presentation of programme
1500-1630	Meeting with the Special Representative of the Secretary-General and the United Nations country team for general briefings on the situation in Haiti
1615-1700	Transfer from the log base to Hotel Oasis
1700-1800	Meeting with the Minister for Foreign Affairs a.i., Mr. Lener Renauld
1800-1815	Transfer from Hotel Oasis to Hotel Karibe
1815-1915	Personal time
1915-1930	Transfer to the residence of the Special Representative of the Secretary-General
1930-2030	Reception hosted by the Special Representative of the Secretary-General

Wednesday, 27 May

Before 0700	Individual breakfast
0700-0800	Transfer to the MINUSTAH helipad log base
0800	Departure from the log base for Jérémie, Grande Anse
0800-0900	Transfer by helicopter
0900	Arrival in Jérémie
0900-1500	Field visit in Jérémie/Grande Anse (visiting projects linked to the Political Champions for Disaster Resilience initiative)
1500	Departure for Port-au-Prince
1500-1600	Transfer by helicopter
1600-1700	Arrival in Port-au-Prince and transfer to Hotel Karibe
1730-1900	Meeting with representatives of civil society (Hotel Karibe)

1905	Transfer to the Official Residence of Canada, Péguyville
1930-2130	Reception hosted by the Ambassador of Canada to Haiti, Ms. Paula Caldwell, Official Residence of Canada

Thursday, 28 May

0730-0900	Working breakfast with the Deputy Special Representative of the Secretary-General (Rule of Law), Carl Alexandre, Office of the United Nations Police Commissioner and Community Violence Reduction/Corrections Unit
1030-1100	Transfer to the Ministry of Economy and Finance
1100-1200	Meeting with the Minister of Economy and Finance, Mr. Wilson Laleau
1230-1245	Transfer to restaurant, Marriott Hotel
1300	Lunch with the Group of Twelve Plus (donor coordination group)
1430	Departure for the Ministry of Planning
1500	Meeting with the Minister of Planning, Mr. Yves Germain, and signing of the revised United Nations Integrated Strategic Framework for Haiti by the Special Representative of the Secretary-General and the Minister of Planning
1700	Meeting with the President of Haiti, Mr. Michel Martelly, and the Prime Minister, Mr. Evans Paul (Presidential Palace)
1830	Return to Hotel Karibe
	Free evening

Friday, 29 May

Before 0800	Check-out from Hotel Karibe
0800-0930	Briefing on United Nations country team priorities for 2015 (Deputy Special Representative Peter de Clercq and United Nations country team, Hotel Karibe)
930-1030	*CANCELLED* Meeting with International Organization of la Francophonie
1030-1130	Meeting with the Economic Forum (Hotel Karibe)
1130-1230	Transfer from Hotel Karibe to log base
1300-1415	Lunch and debriefing with the Special Representative of the Secretary-General, the Deputy Special Representative of the Secretary-General, and the Deputy Special Representative of the Secretary-General (Rule of Law) (log base deck)
1415	Transfer from the log base to the airport
1430	Check-in at the airport
1525	Departure for New York City

United Nations E/2015/85

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Agenda item 12 (h)
Coordination, programme and other questions: calendar of conferences and meetings in the economic, social and related fields

Letter dated 1 July 2015 from the Chair of the Committee on Conferences to the President of the Economic and Social Council

In response to the invitation of the Economic and Social Council set forth in its decision 1988/103, the Committee on Conferences met on 29 June 2015 to review the provisional calendar of conferences and meetings in the economic, social and related fields for 2016 and 2017 (E/2015/L.8) and welcomed the opportunity to provide, as appropriate, its recommendations thereon to the Council.

In introducing the provisional calendar, the Secretary of the Committee on Conferences drew the attention of the Committee to paragraph 11 of General Assembly resolution 53/208 A and paragraphs 5 to 9 of Assembly resolution 69/250, in which it was decided that United Nations bodies should be invited to avoid holding meetings on Orthodox Good Friday, Yom Kippur, the Day of Vesak, Diwali, Gurpurab and Orthodox Christmas and that these arrangements should be taken into account when drafting future calendars of conferences and meetings. Regarding the two official holidays of Eid al-Adha and Eid al-Fitr, once their exact dates become known, as with other official United Nations holidays, no official meetings will be scheduled on those days.

The Committee decided to recommend to the Council the adoption of the provisional calendar for 2016 and 2017.

(*Signed*) Imelda **Smolčić**
Chair of the Committee on Conferences

15-10934 (E) 080715

Please recycle

United Nations A/70/137–E/2015/86

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Economic and Social Council

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General Assembly
Seventieth session
Items 15, 20 and 125 of the provisional agenda*

Integrated and coordinated implementation of and follow-up to the outcomes of the major United Nations conferences and summits in the economic, social and related fields

Sustainable development

Interaction between the United Nations, national parliaments and the Inter-Parliamentary Union

Economic and Social Council
2015 session
Agenda items 5 and 6

High-level segment

High-level political forum on sustainable development, convened under the auspices of the Economic and Social Council

Summary report of the 2014 parliamentary hearing

Note by the President of the General Assembly

The present document contains the summary report of the 2014 parliamentary hearing, held in New York on 19 and 20 November 2014, which is circulated pursuant to General Assembly resolution 65/123.

* A/70/150.

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Ensuring a people-centred approach to the new sustainable development goals: a shared responsibility

Parliamentary hearing held at United Nations Headquarters: summary report

1. The 2014 parliamentary hearing was held at United Nations Headquarters on 19 and 20 November. It was organized jointly by the Inter-Parliamentary Union (IPU) and the President of the General Assembly, and brought together some 200 parliamentarians and ambassadors from a diverse set of countries under the theme "Ensuring a people-centred approach to the new sustainable development goals: a shared responsibility".

2. The President of the General Assembly, the Secretary-General of the United Nations and the President of the Inter-Parliamentary Union addressed the hearing. In their statements they acknowledged the central role of parliaments through their legislative, oversight and budgetary functions. They emphasized the need for parliamentary involvement in the formulation and implementation of the sustainable development goals to ensure that they are people-centred. The full statements can be found on the Inter-Parliamentary Union website at http://ipu.org/Splz-e/unga14.htm.

I. Introduction

> As a pillar of democracy and good governance, parliaments can play an instrumental role in shaping a people-centred approach to the new agenda.
>
> Sam Kutesa, President of the General Assembly

3. A people-centred approach to development embodies fundamental principles of social justice, human rights, solidarity and equality, and the common good as preconditions to human development and well-being. It rests on the realization that economic growth alone does not lead to human development and instead calls for a transformative approach through changes in political, social and environmental values and practices. A people-centred approach cannot be decided on within the walls of the United Nations: it requires that people are part of the entire process, that their voices are heard, and that their input is turned into actions. For the sustainable development goals to succeed, they will need to be fully owned by the people.

> If we reduce progress to mere economic growth, we risk leaving behind the human being in all of his or her dimensions, including intellectual and emotional. We are much more than what we have, more than "consumers" or "taxpayers" and certainly much more than "productive assets".
>
> Saber Chowdhury, President of the Inter-Parliamentary Union

4. With this in mind, the sustainable development goals must reflect the new development thinking that has evolved over the past few decades from a focus on satisfying basic needs to a rights-based approach. A rights-based approach requires States to protect economic, social and cultural rights while, simultaneously, requiring them to respect civil and political rights. Those rights are laid out in the Universal Declaration of Human Rights and the international human rights treaties, and are further reflected in the Declaration on the Right to Development, which defines the right to development as "an inalienable human right by virtue of which every human person and all peoples are entitled to participate in, contribute to, and enjoy economic, social, cultural and political development, in which all human rights and fundamental freedoms can be fully realized".

> The power of the non-binding agenda resides in its moral legitimacy, on the inclusion of all peoples and on its capacity to mobilize and persuade. It will also be crucial to have a framework for mutual accountability among Member States and all actors, rooted in international human rights norms and standards.
>
> Amina Mohammed, Special Adviser to the Secretary-General on Post-2015 Development Planning

5. The 2014 parliamentary hearing provided a unique opportunity for parliamentarians to exchange views with United Nations officials and experts from academia and civil society on the significance of the internationally agreed rights permeating the sustainable development goals and the opportunities and challenges of a people-centred approach in the implementation phase. The discussion focused on the obligation of States to respect and protect those rights in the negotiation of the sustainable development goals as well as in their implementation. It also highlighted the role that parliaments must play in order to ensure that the implementation of the sustainable development goals is in line with their States' human rights commitments. What emerged most clearly was the need to mainstream human rights principles throughout the sustainable development goals as well as the need for a strong goal of ending inequalities at all levels by tackling discrimination through legal and regulatory measures.

6. The hearing served as a reminder that parliamentary involvement is required in every stage of the process to ensure that people's voices are heard and turned into action. A close triangular cooperation between the United Nations, IPU and national parliaments will ensure that parliaments, as the most representative political body, are informed of the negotiations, have a voice in the formulation of the sustainable development goals, and are ready for the implementation phase when the goals come into effect on 1 January 2016.

> Parliamentarians are the bridge between the local, national and international. You have your finger on the pulse of people's concerns.
>
> Ban Ki-moon, Secretary-General of the United Nations

II. Making the sustainable development goals people-centred: development as a right for all

7. Development that is people-centred, sustainable and equitable must be closely aligned with internationally agreed rights standards. The right to development, agreed by Member States in 1986, encompasses all human rights and entails not only the obligations of States towards their own populations but also a range of important commitments and obligations to advance international cooperation. In fact, the sustainable development goals that have been proposed by the Open Working Group on Sustainable Development Goals incorporate human rights principles related to issues such as gender and equality, and explicitly mention human rights in goal 4, on education and life-long learning opportunities.

8. The proposed sustainable development goals represent a vast improvement on the Millennium Development Goals in regard to integrating internationally agreed rights. The draft document recognizes a need for focusing not only on the provision of basic services but also on the quality of services and, at the same time, on the need for eradicating inequalities and discriminatory practices. It acknowledges, for example, that making primary education universal is not sufficient by itself. Education also needs to be of high quality so that everyone has an opportunity to learn — which is ensured only if education is considered a public good and in line with human rights, protected and respected by the State. The sustainable development goals also incorporate civil and political rights through issues such as political participation, personal security and access to justice, which were largely neglected by the Millennium Development Goals.

9. Despite these advancements, the draft sustainable development goals still leave room for improvement to ensure that the development process will take a rights-based approach. First, the draft could be strengthened by grounding the various goals in the corresponding human rights more explicitly. Human rights refer to a set of obligations that every Government has accepted by ratifying the various human rights-related treaties, and they have precise concepts which cannot be adjusted, adapted or manipulated.

10. Because the sustainable development goals are a political agreement that is not legally binding, ensuring the political will to implement the goals will be critical. A more explicit mention of human rights in the goals would introduce an obligation for States to act, while also giving the people leverage to demand effective implementation of the goals. This would make of the sustainable development goals not just an aspirational commitment but rather something more akin to an enforceable legal agreement. Although human rights are an entitlement that people have even if they are not explicitly stated in the final document, the reference to those rights would clearly strengthen people's standing at the implementation stage.

11. At the regional and global levels, peer reviews will be most effective for ensuring the accountability of a commitment — the sustainable development goals — that is not legally binding. Aligning the sustainable development goals with the human rights framework will make it possible for the universal periodic review of the Human Rights Council to become an accountability tool for the goals at the global level. Another tool may be the peer-review mechanism that will be instituted as part of the new high-level political forum of the Economic and Social Council.

> There is a huge difference between saying "I want all girls to go to school" and saying "Even though you are not a male, you have a right to education". That right to education is empowering to the girls, to their families, and to the communities that can say to their Governments that the failure to provide this right is a political failure. The sustainable development goals will be a big disappointment for those living in poverty, unless they realize that there is a human right to ending poverty.
>
> Philip G. Alston,
> Special Rapporteur on extreme poverty and human rights

12. A second shortcoming of the current draft is that it limits the principle of universality in regard to aspects of gender equality. Goal 5, on gender equality and the empowerment of women and girls, states that two targets — on the recognition and valuing of unpaid care and domestic work and on undertaking reforms to give women equal rights to economic resources — are subject to what is "nationally appropriate" and "in accordance with national laws", respectively. Yet Member States have already agreed to these rights through a number of instruments, notably the Convention on the Elimination of All Forms of Discrimination against Women, and the sustainable development goals should not be used to weaken the application of these rights.

> Human rights are an entitlement. It is not something that is given to people by a document.
>
> Ivan Šimonović, Assistant Secretary-General for Human Rights

13. Third, the sustainable development goals should offer States an opportunity to recognize new rights like water and sanitation. Similarly, while the final document should attempt to explicitly reference all vulnerable and marginalized groups, not only as beneficiaries of the sustainable development goals but also as participants in the process, it should leave room for the goals to apply to groups that are not yet specifically referenced.

Recommendations

- The sustainable development goals should explicitly reference human rights and avoid loopholes that might allow Governments to fall short of their human rights obligations.
- The language in the sustainable development goals document should not weaken rights that Member States have already agreed to through other international agreements.
- The sustainable development goals should go beyond quantitative targets to make sure that all the qualitative dimensions that help to define human rights are adequately captured.

III. Levelling the playing field: the importance of equality and non-discrimination at all levels

14. The hearing echoed unequivocal support for goal 10 in the current draft, on reducing economic disparities and inequality of human development outcomes by actively working to dismantle discrimination in all its forms. Policies that lead to vastly different outcomes for specific groups in society are often in violation of human rights principles. There is overwhelming evidence that discriminatory practices inhibit economic and human development. The impact of gender inequality on economic development serves as a good example: women who are uneducated are less productive, do more unpaid care work, and their children as less healthy and less educated. It is, therefore, essential for sustainable development that action is taken to eliminate discriminatory practices; proactive measures must secure rights for all, including the most vulnerable sectors of society.

> Governments have a legal obligation to protect rights but also to fulfil rights.
>
> Sakiko Fukuda-Parr,
> Vice-Chair of the Committee for Development Policy

15. Despite the fact that equality and non-discrimination are enshrined in legally binding human rights agreements and do not require instrumental justifications, inequalities have increased in most countries in every region of the world. There are manifold reasons for the increase in inequality within countries. The success stories of many countries look only at poverty reduction in the aggregate and do not adequately highlight the gap between those who have benefited and those who have been left behind. Although the financial crisis has led to greater economic insecurities for most people, the rich have fared a lot better than the less well off. Austerity policies have perpetuated inequalities while allowing the rich to reap most of the benefits of the economic recovery. Governments find it easier to cut back in those areas of recurrent expenditure that are most important for human development, which has a disproportionate impact on the most vulnerable sectors of society, such as the disabled or women and, especially, single mothers.

16. It is disheartening that the 85 richest people in the world have as much wealth as the poorest 3.5 billion, according to some reports. Such disparities in income and human development are perpetuated by limitations of access to political decision-making. This is particularly the case for tne poor, people in rural areas, minority ethnic groups, indigenous peoples, the disabled, the youth and, most pervasively, women. At the same time, inequalities lead to political capture by the interests of the privileged, most effectively through lobbies, which inevitably leads to unfair and inefficient policies.

17. It is, therefore, necessary that decision-making bodies are representative of the entire make-up of society and of all income levels. Several participants in the hearing emphasized the important role of special measures, such as quota systems, to increase the number of people representing vulnerable sectors of society in political offices. In addition to special measures to increase the actual number, the overall culture of parliament as an institution needs to provide incentives and

enablers for representatives of underrepresented groups to participate in the political sphere. For example, the New Zealand parliament takes school holidays into account when preparing its schedule to allow women parliamentarians to participate.

18. Greater participation of vulnerable sectors of society cannot necessarily be achieved through top-down measures. A discussion during the hearing on youth participation in the political sphere illustrates a two-way barrier to participation in national decision-making structures. Several participants argued that there are undue obstacles to youth participation, such as a higher age requirement to run for office than to vote. At the same time, young people's interest in public service seems to have decreased as they look for fulfilment in the private sector instead. This may be a result of a lack of role models among public officials and increasing media coverage of corruption and mismanagement in the public sector.

> Who do constitutional requirements like minimum age for holding public office really protect?
>
> Vytautas Gapsys, Lithuania

19. At the same time, all sectors of society must be allowed to effectively mobilize to have their interests heard and, ultimately, to sensitize society at large to their needs. All too often, discriminatory rules and practices are entrenched in cultural norms, values and traditions with deep roots in the social fabric of a country. Where this is the case, laws are often ineffective. Violence against women, for example, is tolerated by many societies even when it has been formally outlawed. The best solution to this "cultural exception" to human rights is to allow women's voices to be heard. Empirical evidence shows that a country's advancement towards gender equality is most often a function of the size, strength and autonomy of the women's movement. Around the world, collective action by all lower-income groups is shrinking, owing to constraining laws and limited resources, including time available for people to organize effectively. Through mobilization, vulnerable sectors of society can bring their concerns on to the agenda and secure support among the general population. Laws alone are not enough — for laws to be effectively implemented, there needs to be a buy-in from society at large.

> When you hear such arguments as "we don't want to change certain rights like aspects of how women are treated in our country", ask them: "What do women say about that? Do women have genuine conditions to organize and to express their views without fear of backlash?"
>
> Professor Anne Marie Goetz,
> Center for Global Affairs, New York University

20. Inequalities between countries remain high and are often directly linked to inequalities within countries. Several participants attributed this to the unequal power structures within the international system played out in international organizations like the United Nations, the international financial institutions, and through regional ones like the European Union. The international trade regime is

another major source of distortions and economic imbalances. Participants emphasized in particular the negative impact of protective measures imposed by developed countries, which undermines the competitiveness of developing countries.

21. A recurring example of this power imbalance was the impact of unequal treatment of countries in regard to agricultural subsidies. While the richer regions of the world continue to assert their right to subsidize their agriculture, poorer countries, whose economies depend largely on the agricultural sector, are prevented from providing similar support to their farmers because of existing trade agreements. Other institutional measures for allowing market access to small-scale farmers, such as the creation of marketing boards, have been discouraged by the international community as instances of excessive regulation that undermine the free market. These pressures to neglect the agricultural sector, in turn, perpetuate the rural-urban divide within countries.

22. Other factors that explain the widening gap between countries include the competitive advantage of developed countries in technological capacities and skills. In this regard, an increasingly important factor is the monopolization of information, since large sets of data are produced and used by only a few Governments as well as, increasingly, by large corporations. Yet there are strong ethical and economic arguments for data to be made more widely accessible through proactive laws and regulations. As the Independent Expert Advisory Group on the Data Revolution for Sustainable Development estimated, the economic benefit of more open data is in the range of trillions of dollars. Closing the information gap will require more than more open data: people need to be trained and capacities within countries need to be increased in order to ensure that data can be used effectively.

Recommendations

- The rise in inequalities everywhere, within and between countries, runs counter to human rights and undermines sustainable development. The sustainable development goals should therefore include a stand-alone goal on inequality, which could build on the proposed goal 10.
- All political, social and economic policies must be in line with human rights obligations and must be designed so that costs and benefits are fairly distributed across all sectors of society. Parliaments must eliminate discriminatory laws and make laws that protect the most vulnerable sectors of society. Through their oversight function, parliaments must ensure that laws are implemented and enforced.
- All sectors of society, especially the most vulnerable ones, including women, youth, ethnic minorities, indigenous peoples and the disabled, must have access to decision-making positions. Special measures, such as quota systems, can be effective in increasing the number of representatives of vulnerable groups. At the same time, barriers to participation should be removed.
- Barriers to social mobilization and grassroots organizing must be eliminated. All sectors in society must be able to claim their rights without fear of persecution. States may have to take proactive measures to eliminate barriers due to time or resource constraints.

- The international regime of trade, finance and technology transfers needs to be reformed so that it stops discriminating against developing countries, creating an equal playing field for all.

IV. Protecting the rights of people: the need for fiscal reforms, market regulation and institutional capacities

23. Investment in human development through the provision of a social protection floor and other innovative measures is necessary to level the playing field for the most vulnerable sectors of society. Participants in the hearing pointed to a number of policy approaches, including microcredit schemes and labour reforms, to create more employment opportunities in the formal sector, minimum wage legislation tied to GDP growth, cash transfer programmes, access to credit through specialized banks, and price controls on food products.

24. In designing policies that address inequalities, policymakers need to distinguish between causes and effects, as well as between temporary and systemic factors. Appropriate solutions are those that get to the underlying, systemic causes of the problem.

> Smart economic policy promotes growth together with equality, social progress, the realization of economic and social rights, as well as democracy.
>
> Sakiko Fukuda-Parr,
> Vice-Chair of the Committee for Development Policy

25. Progressive fiscal policy is the most important tool that Governments have to protect the most vulnerable, not only as a response to economic crisis but also as a way of ensuring that all sectors of society have equal opportunities and access to services. Progressive fiscal policy entails an increasingly higher tax rate for higher income brackets, while resources are spent with human development and the well-being of all people in mind. Providing a social protection floor is possible in all countries, both developed and developing As illustrated in the *World Social Protection Report 2014-15* of the International Labour Organization, even the poorest countries in the world can afford to provide a minimal social protection floor if State revenues are invested appropriately.

> Countries should compete on human development and well-being, and not on low tax levels, which will lead to a race to the bottom.
>
> Fernando Carrera Castor,
> Permanent Representative of Guatemala to the United Nations

26. The discussions made it clear that changes in social outlook underlie such policy measures and that the general population may need to be reassured that the protection of the most vulnerable is in the interest of society overall. Participants in the hearing provided several examples of the challenges in achieving change,

including that opening the labour market to the young may require concessions from older workers; achieving gender equality necessitates changes in school curricula; and increasing aid to developing countries will depend on convincing taxpayers in donor countries of the merits of such investments.

27. A particular difficulty in implementing the sustainable development goals is that they may require the pursuit of policies that people do not consider priorities in their own lives and that may even be politically unpopular. The My World platform, which asks citizens around the world to express their policy priorities on the basis of a list of issues that are likely to be covered by the sustainable development goals, found that people are least concerned about issues related to climate change and environmental protection. Generally speaking, people tend to be driven by their immediate individual interests rather than a concern with long-term issues like climate change. This makes designing an effective policy response to sustainable development issues particularly difficult. Since parliamentarians have a close relationship with their constituents, they are well positioned to promote the long-term measures that need be taken for the sustainable development goals to succeed.

> Realizing the transformative aspirations of the sustainable development goals will depend on action at the national level bolstered by enhanced international cooperation. Parliaments therefore will play a central role in translating and adapting the global agenda into real change on the ground through legislation, fiscal reforms, robust citizen participation, and effective oversight, evaluation and accountability.
>
> Ban Ki-moon, Secretary-General of the United Nations

A. Regulating the markets

28. In addition to fiscal policy, proactive market regulation is an important tool that Governments can use to advance the sustainable development goals from a human rights perspective. While Governments have no obligation to provide for all their people directly, Governments must be the guarantors of the final outcome. The market system is an engine of economic activity and has contributed greatly to the development of nations. However, going forward, there need to be more effective ways of ensuring that market mechanisms better support human well-being and, at a minimum, do not cause harm.

29. Left to their own devices, private sector actors, who are mostly driven by a profit-seeking logic, will not necessarily work towards people-centred sustainable development goals. As illustrated by the economic and financial crisis of the past few years, it is clear that markets are not self-regulating and that proactive government policies are needed to maintain stable conditions, prevent market failures, and make sure that downturns do not worsen conditions for the poor and the most vulnerable. This will require strong and transparent public institutions that can withstand capture by private interests and that are open to public participation and oversight. Restoring people's trust in the market mechanism as an engine of development will depend to a large extent on reforming the institutions of government, and of politics in general, consistent with democratic principles of accountability, transparency and participation.

30. Stressing corporate social and environmental responsibility can be an effective tool for establishing norms for the private sector in a similar manner to making violations public with the help of civil society and the media. However, it is the responsibility of Governments to ensure that the private sector acts in line with key commitments such as the sustainable development goals. More proactive regulation of the private sector will be required to prevent a race to the bottom as a result of wage, social, or environmental dumping and the corresponding human rights violations.

> Markets are not self-regulating. Balance comes through fiscal policy, which requires public authority. If we do not move forward, populism will win out.
>
> Serge Janquin, France

31. A most important sector in need of regulation is the financial industry, where the aim should be to ensure fair and equitable distribution of resources in support of the real (productive) economy. Most people have no access to capital, which prevents entrepreneurship and leaves people, especially in the agricultural sector, unprotected in times of crisis. Banks that are specialized in specific industrial sectors, such as agriculture and rural development, are better equipped and more likely to provide necessary capital. The financial sector needs to be brought on board as a partner for sustainable development. To that end, it needs to support progressive industries, such as renewable energy, which may not produce profits in the short term but will eventually pay large dividends in terms of both return to investors and social and environmental benefits.

> Parliamentarians can help to create and strengthen partnerships with the private sector, regional and local banks, and other financial institutions in order to effectively distribute resources in a fair and equitable manner.
>
> Sam Kutesa, President of the General Assembly

32. A major hurdle in that regard is the fact that international financial institutions, which lend to central banks or other national institutions, do not require borrowing Governments to account for their policies on human rights and the environment. Negotiations between international financial institutions and national Governments rarely touch on human rights questions since such negotiations typically involve only the finance ministries and not those ministries — such as foreign affairs and justice — with direct responsibility for human rights.

33. Imposing government regulations on the private sector will remain an upward battle for as long as international financial institutions encourage investment in countries with weak regulation. For example, the World Bank's *Doing Business* report ranks countries by the number of procedures and the time it takes to start a business, but pays little attention to labour rights and environmental conditions, which may encourage countries to reduce regulation in order to lure foreign investors. Such investments, however, do not necessarily produce trickle-down

effects for the whole society and, with low levels of regulation, may leave people more vulnerable to market forces.

> We have seen a loss in faith as a result of the financial crisis. The financial industry has become too big a portion in national industries. Financiers should be rewarded in proportion to the capital that they produce rather than in the proportion of the debt that they produce.
>
> Radek Sikorski, Speaker of Parliament, Poland

34. Another proactive approach is to design strong competition policies to prevent monopolies and price rigging. This will protect consumers and also facilitate the entry into the market place of new players — which in turn will help to expand the tax base to support social programmes, infrastructure development and the like.

B. Financing the sustainable development goals

35. Ultimately, the implementation of the sustainable development goals will require a stronger revenue base. While foreign aid remains important to help developing countries, the lion's share of financing for the sustainable development goals needs to be generated within countries in order to ensure sustainability. State budgets are under stress almost everywhere for a number of often interlinked causes, national and global.

36. At the national level, Governments in both developed and developing countries are under pressure to negotiate a lax tax regime for businesses and multinational corporations, which ends up reducing State revenues. Developing countries, especially, lack sufficient expertise and resources to negotiate fair deals with multinational corporations and guarantee that their investments will benefit the country overall. While studies show that higher tax rates do not necessarily lead to capital flight, providing a tax advantage to foreign companies for a defined period of time and within a strong regulatory framework may help to bring more investments to developing countries that often lack the capacities and skills to build homegrown industries.

37. The burden of debt servicing also limits the resources of many developing countries. Several participants stressed that sovereign debt prevents their Governments from investing in human development as a precondition for sustainable development. The management of sovereign debts should be considered a global public good requiring an international mechanism for debt restructuring that is fair to all parties. Countries need to agree to a global institution to arrange for orderly debt workouts to prevent defaults and other disruptions to the international financial markets.

38. At the international level, lack of regulation provides opportunities for corporations and individuals to channel their money into so-called tax havens which allow for international tax avoidance and evasion. The Organization for Economic Cooperation and Development has recently proposed measures to curb tax havens, but support from Governments around the world remains weak. This is partially because the countries to which capital is flowing benefit from this practice.

39. A solid revenue base, of course, cannot entirely rely on income from national and multinational corporations. Measures need to be taken to subject the informal sector to more stringent regulation and tax collection. Solidarity derives not only from ensuring that government reaches out to all sectors of society but also from all sectors of society contributing to building a strong State.

40. In addition to the question of sovereign debt, the whole international regime that governs market access, property rights, technology transfer and other so-called "means of implementation" for the sustainable development goals will need to be reformed to create a level playing field for all countries. This includes giving developing countries more say at the World Bank, the International Monetary Fund and other bodies of global economic governance.

Main recommendations

- Government regulations must be in place to protect citizens from unchecked market forces that may result in human rights violations as well as negative social and environmental impacts.
- Independent regulatory bodies and other public institutions, subject to oversight and impervious to particular interests, need to be strengthened or established.
- The international community needs to take decisive action to strengthen the tax regime and overall market conditions to allow countries policy space and sufficient authority to raise their own revenue. An international debt workout mechanism will also need to be established.

United Nations action for development: how does it work?

A special segment of the hearing provided a comprehensive overview of United Nations development work at both political and operational levels.

The Permanent Representative of Austria to the United Nations and President of the Economic and Social Council, Martin Sajdik, described the role of the Council as a central decision-making body. Suki Beavers, an Adviser for the Inclusive Political Processes Team of the United Nations Development Programme, discussed the work of the United Nations Development Group and the United Nations system at the country level. Jane Stewart, Special Representative and Director of the International Labour Organization (ILO) office in New York, presented the work of ILO as a unique tripartite organization (drawing together representatives of government, business and labour) among the 15 autonomous specialized agencies of the United Nations system.

All three presentations highlighted how parliaments have been able to work closely with the United Nations at both national and global levels, and how this cooperation may be strengthened to help carry forward the post-2015 development agenda.

Main points

- Parliaments have a key role to play in building national ownership of the sustainable development goals and in advancing their implementation at the country level. Closer in-country cooperation between the parliament and the United Nations team can support these objectives. As seen in several countries already, United Nations outreach to the parliament has helped to facilitate parliamentary input into the design and monitoring of the national development plan. This practice, however, needs to be formalized and extended to more countries around the world. Similarly, parliaments should become more involved in the United Nations Development Assistance Framework — the United Nations system work plan to advance the national development strategy. Ideally, the Framework should be properly aligned with the development vision established by the Government in concert with the parliament.

- As the case of ILO illustrates, interaction between the United Nations and parliaments can grow beyond the United Nations proper to involve the various specialized agencies. ILO and IPU are already bound together by a cooperation agreement which is meant to mobilize parliamentary support for the core principles of ILO, particularly those entrenched in the various labour conventions. Parliamentarians should seek to join their national delegations to ILO assemblies where questions of employment generation and decent work are discussed. In coordination with IPU, it may also be possible for Members of Parliament to participate in workshops and other specialized events of ILO that can help to strengthen the capacities of policymakers.

- At the global level, recent reforms of the Economic and Social Council will result in a greater opportunity for Members of Parliament to participate in debates. Parliamentarians' active involvement in the Council would strengthen its policy-making role and, simultaneously, ensure that key policy ideas generated at the global level are implemented at the national level.

More specifically, Members of Parliament should contribute to monitoring the implementation of the sustainable development goals by participating in the work of the high-level political forum on sustainable development, a major innovation whose mandate includes reviewing national progress reports on a regular basis. Parliamentarians are already actively engaged in the Development Cooperation Forum of the Economic and Social Council, where they are contributing with other stakeholders to a major rethink of development cooperation.

The new integration segment of the Economic and Social Council, designed to look at specific policy issues from a sustainable development perspective (economic, social and environmental), constitutes yet another opportunity for parliamentarians from different policy backgrounds to make a contribution to the work of this important body.

V. Monitoring and accountability: the role of parliaments, and the need for citizen participation

41. As in the case of the Millennium Development Goals, the implementation of the sustainable development goals will have to be monitored on the global, regional, national and local levels. Monitoring on the international level provides opportunities for sharing experiences and recommendations. Global monitoring should not be limited to intergovernmental processes but should also apply to other stakeholders. All partners in the development process have to be held accountable, including the private sector and civil society.

42. On the national level, monitoring is tied to questions of accountability. Parliaments, as the most representative political body, play a key role at all levels: they have a legal mandate to be the overseer of Governments' actions at home and abroad, as well as a mandate to reach out to constituents and ensure that all sectors of society are heard. In order to fulfil their oversight functions, parliaments need to better integrate the sustainable development goals into their own processes and strengthen their oversight mechanisms. This includes instituting multi-party and multi-portfolio committees in order to help advance all the various goals in a cross-cutting way. Institutional mechanisms are needed to lock in place a long-term perspective in policymaking, which would help to overcome the short-term approach inherent to the electoral cycle.

> Words are fine, policies are great — but they mean nothing if they are not implemented. Let's think very hard about how we can ensure that an active process of communication with citizens is still part of the implementation process.
>
> Corinne Woods, Director, United Nations Millennium Campaign

43. National Governments will have to invest in the collection and analysis of reliable and timely data in order to identify policy priorities and measure progress. This will require setting aside funds for ensuring infrastructure that can generate data and also secure data from corporations, which are becoming increasingly strong players in data generation. Special attention will need to be paid to ensure that data are not used for unintended negative purposes, notably political persecution.

44. In addition, data have to be disaggregated in order to identify sectors of society that are excluded and, ultimately, to help formulate a policy response that targets the root causes of inequality. The My World platform, through which 7 million people around the world have expressed their development priorities, illustrates the potential impact of dissecting a data set based on demographics such as locality, gender, age and ethnicity. Applying policies that should benefit everyone, such as universal primary education, will help to identify groups that are excluded from development for structural reasons. States can then target policies to ensure that services reach those groups effectively.

45. Disaggregated data will be essential for the implementation phase. While aggregated data can help Governments to establish priorities for their societies

overall, disaggregated data are necessary to help to eradicate discriminatory practices through government regulations and changes to legal frameworks. Ultimately, sustainable development requires that all sectors of society feel that they are part of the process and that all can benefit from the outcome.

46. Parliamentarians play an essential role in the collection of data in a way that ensures that all voices are heard. For example, if every parliamentarian in the world reached out to just 1,000 of his or her constituents, some 43.5 million more people could become aware of the sustainable development goals. Still, data collection cannot rely exclusively on online sources but must also include offline efforts. While new technology opens up immense opportunities for data collection and analysis, the gap in access to online services is such that relying solely on such services would provide a distorted picture of citizens' views. The need for incorporating offline approaches to data collection is effectively illustrated by the My World experience, which collected 75 per cent of all votes through paper ballots.

47. Aggregate numbers are not enough to obtain a reliable picture. Data collection efforts need to ensure that all sectors of society are covered. Parliamentarians, with their unique access to constituents, can help to ensure that all voices are heard. Many sectors in society need to be approached directly and encouraged to participate in surveys. As the My World survey has shown, online voting tends to attract responses from men whereas paper ballots allow interviewers to approach women and other sectors of society that might otherwise be excluded.

48. Efforts by parliaments and parliamentarians to collect data enable them to also inform their constituents about the sustainable development goals and involve them in the process. Some parliaments already have mechanisms in place which allow for ongoing communication between individual parliamentarians and their constituents on this issue. One example is the free SMS-based system UReport in Uganda, which allows young Ugandans to communicate directly with their parliamentary representatives about important issues in their communities. Parliaments would benefit from peer review processes to exchange lessons learned and best practices.

> If it was not for IPU, I personally would not know about the sustainable development goals. People are not aware of United Nations processes, especially when they require a long-term perspective.
>
> Dionysia Theodora Avgerinopoulou, Greece
> President of the Standing Committee on United Nations Affairs
> of the Inter-Parliamentary Union

49. Parliaments and United Nations agencies need to work in a more integrated manner with each other in order to increase the information flow to parliaments and, ultimately, to strengthen parliaments' capacities.

Recommendations

- The availability of disaggregated data will be critical to enable strong parliamentary oversight of the sustainable development goals. Parliaments

need to allocate funds for data collection and analysis through government institutions as well as independent providers.

- Parliaments and parliamentarians should also play an active role in the generation of data, allowing for more opportunity to inform constituents of the sustainable development goals and involve them in the process.
- Parliaments need to better integrate the sustainable development goals into their own processes and strengthen their oversight mechanisms. This includes instituting multi-party and multi-portfolio committees in order to help to advance all goals in a cross-cutting way.
- IPU should increase efforts to support a more integrated cooperation between United Nations agencies and national parliaments, including by inviting United Nations officials to interact more regularly with relevant parliamentary committees.
- Parliaments should report on their progress in regard to implementation of the sustainable development goals at IPU Assemblies.

VI. The way forward: the parliamentary contribution to the United Nations process

> The sustainable development goals provide a common vision which the global community has, which cannot be reached without parliaments. This is a promise by the leaders of the world to their citizens. And you as parliamentarians are at the interface of this relationship.
>
> Thomas Gass, Assistant Secretary-General for Policy Coordination and Inter-Agency Affairs, Department of Economic and Social Affairs

50. The day the sustainable development goals come into effect, 1 January 2016, countries will need to be ready at the starting line knowing what needs to be done to begin the hard task of implementation. The closing session of the hearing focused on the central role of parliaments leading up to the adoption of the sustainable development goals at the United Nations summit that will be held in September 2015. It also afforded participants an opportunity to review the key steps that IPU and the United Nations are planning in the lead-up to the summit (see annex II).

51. Close cooperation between the United Nations, IPU and national Governments can ensure that parliaments are informed about the negotiations at the United Nations and can provide input to government negotiators directly as well as through IPU.

52. Parliaments need to be involved throughout the negotiation process starting in January 2015, in order to start discussing what laws need to be changed, what oversight mechanisms will be needed, and how funds need to be reallocated. Parliamentarians are encouraged to join their national delegations to New York in order to be part of the negotiations. To facilitate the discussions within national parliaments, IPU will provide regular updates on the negotiations.

53. Parliaments, in turn, will be asked to report on their internal discussions and progress during upcoming IPU meetings. The main theme of the 132nd IPU

Assembly, to be held from 28 March to 1 April 2015 in Hanoi, is "The sustainable development goals: turning words into action". This will be the first opportunity for parliamentarians to report on how they are helping to channel citizens' views into the process while also getting ready for implementation. A second opportunity will come with the Fourth World Conference of Speakers of Parliament, which will be held at United Nations Headquarters from 31 August to 2 September.

> Parliamentarians must not be left behind. We need to be plugged into the process so that the sustainable development goals really become a people-focused development process.
>
> Saber Chowdhury, President of the Inter-Parliamentary Union

Annex I

Panellists and moderators

1. The 2014 parliamentary hearing was conducted in an interview style. Each panel, composed of high-level representatives (United Nations official, United Nations Ambassador, policy expert) and parliamentarians, was moderated by a renowned broadcast journalist. Following the initial interview (30-40 minutes in length), the floor was opened for questions or comments from participants. One keynote speech was given prior to the first session. On the second day, a presentation was given on the My World platform prior to the panel on data.

19 November 2014

2. The morning session, on the theme "Putting people first: the right to development for all", examined the underlying concepts, opportunities and challenges of a rights-based approach. Amina Mohammed, Special Adviser to the Secretary-General on Post-2015 Development Planning, delivered a keynote speech and then joined a panel that included Roberto Leon Ramirez, Member of the Chamber of Deputies of Chile and President of the IPU Committee on Sustainable Development, Finance and Trade, Ivan Šimonović, Assistant Secretary-General for Human Rights, and Professor Philip G. Alston, New York University and Special Rapporteur on extreme poverty and human rights. Julia Taylor-Kennedy, broadcast journalist, Carnegie Council for Ethics in International Affairs, moderated the discussion.

3. A special segment on the theme "United Nations action for development: how does it work?", followed to familiarize Members of Parliament with the United Nations development work at both political and operational levels and to offer them ideas for engaging their constituents on the sustainable development goals. The panel included Martin Sajdik, Permanent Representative of Austria to the United Nations and President of the Economic and Social Council, Suki Beavers, Adviser, Inclusive Political Processes Team, United Nations Development Programme (UNDP), and Jane Stewart, Special Representative and Director of the International Labour Organization's office in New York. The discussion was moderated by Paddy Torsney, Permanent Observer of the Inter-Parliamentary Union to the United Nations.

4. The afternoon session, on the theme "Making markets work for the people: regulations and safeguards", examined the role of Governments in ensuring that markets do no harm and aid the achievement of the sustainable development goals. Ms. Taylor-Kennedy moderated a panel that included Laura Rojas, Senator from Mexico, Fernando Carrera Castro, Permanent Representative of Guatemala to the United Nations, Eva Jespersen, Deputy Director, UNDP Human Development Report Office, and Jessica Evans, senior researcher and advocate working on international financial institutions, Human Rights Watch.

20 November 2014

5. The morning session, on the theme "Inequality and discrimination: the development impacts, and ways to reverse the tide", focused on ways to strengthen the sustainable development goals and examined policy initiatives. The panel included Rebecca Kadaga, Speaker of Uganda's Parliament, Farooq Hamid Naek,

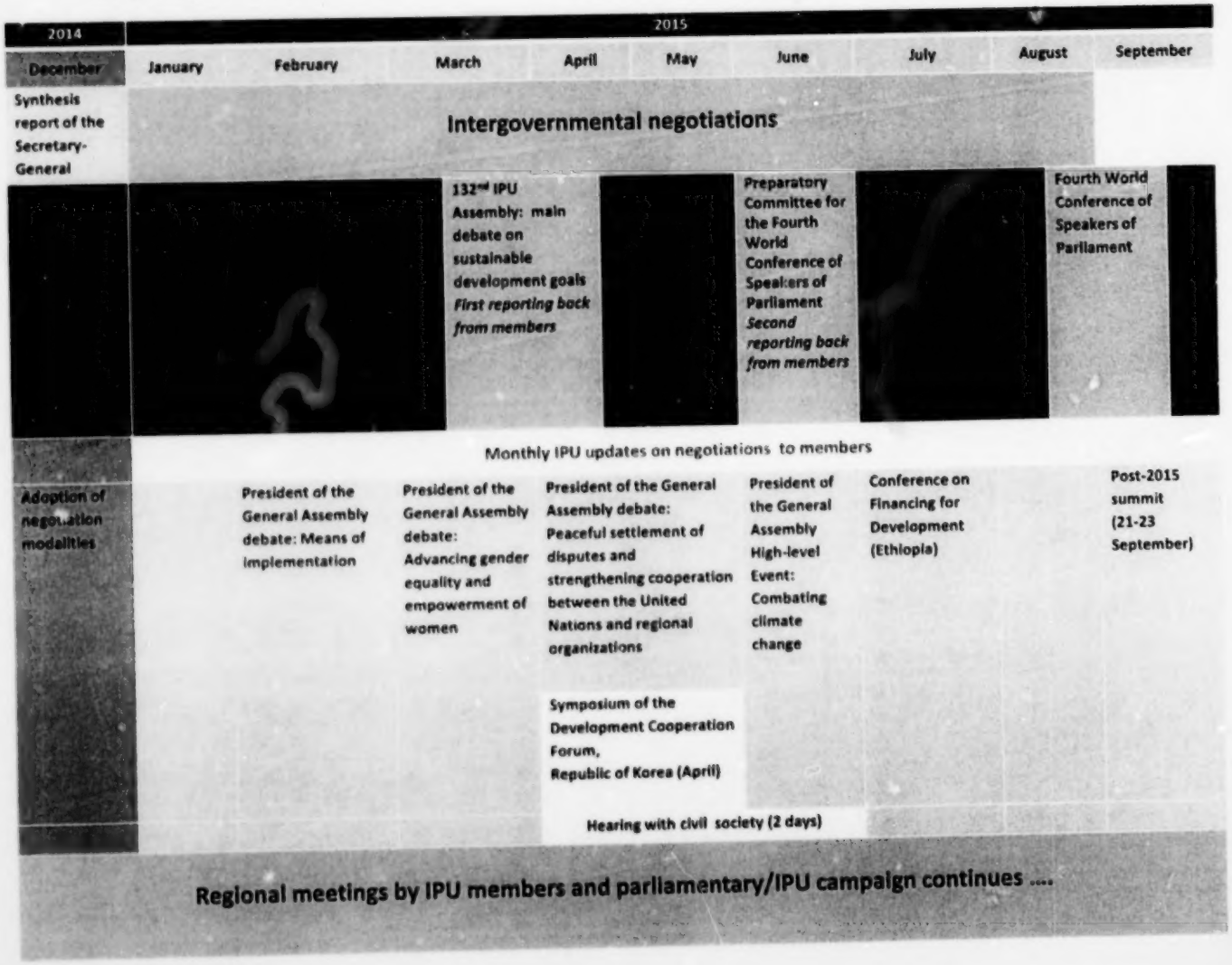
Senator from Pakistan, Juan Carlos Mendoza-García, Permanent Representative of Costa Rica to the United Nations, Anne Marie Goetz, Clinical Professor at the Center for Global Affairs and Chief Adviser on Peace and Security, UN-Women, and Sakiko Fukuda-Parr, New School, and Vice-Chair of the Committee for Development Policy. This session was moderated by Nermeen Shaikh, broadcast journalist at Democracy Now!

6. Preceding the afternoon session, on the theme "Monitoring and accountability for the sustainable development goals: empowering people and institutions", Corinne Woods, Director of the United Nations Millennium Campaign, presented "The My World platform: a dynamic tool for Members of Parliament-constituents interaction". Immediately following her presentation, Ms. Woods joined a panel which included Dionysia Theodora Avgerinopoulou, Member of Greece's Parliament and President of the IPU Standing Committee on United Nations Affairs, María Cristina Perceval, Permanent Representative of Argentina to the United Nations, and Claire Melamed, Director of the Growth, Poverty and Inequality Programme at the Overseas Development Institute and Head of the secretariat of the Independent Expert Advisory Group on the Data Revolution for Sustainable Development. Nermeen Shaikh, broadcast journalist at Democracy Now!, moderated the session.

7. Closing the hearing was a session on the theme "The way forward", which laid out the next steps in the negotiations towards the sustainable development goals and the ways in which parliaments can engage in the process. Saber Chowdhury, President of the Inter-Parliamentary Union, Ranko Krivokapić, Speaker of Montenegro's Parliament, and Thomas Gass, Assistant Secretary-General for Policy Coordination and Inter-Agency Affairs, Department of Economic and Social Affairs, addressed the audience; Martin Chungong, Secretary-General of the Inter-Parliamentary Union, moderated the session.

Annex II

The way forward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President of the General Assembly debate: Advancing gender equality and empowerment of women
President of the General Assembly debate: Peaceful settlement of disputes and strengthening cooperation between the United Nations and regional organizations
President of the General Assembly High-level Event: Combating climate change
Conference on Financing for Development (Ethiopia)
Post-2015 summit (21-23 September)
Symposium of the Development Cooperation Forum, Republic of Korea (April)
Hearing with civil society (2 days)
Regional meetings by IPU members and parliamentary/IPU campaign continues ....